

Please type a plus (+) sign in this box →

+

PTO/SB/05 (4/98)

Approved for use through 09/30/2000. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new non-provisional applications under 37 C.F.R. § 1.53(B))

| | |
|--|--|
| Attorney Docket No. | M61.12-0615 |
| First Inventor or Application Identifier | Jesper Kiehn et al. |
| Title | SYSTEM AND METHOD FOR PROVIDING REAL TIME MODEL BASED SECURITY |
| Express Mail Label No. | EV 388907833 US |

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

Address To:

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

1. ☒ *Fee Transmittal Form e.g., PTO/SB17)
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant Claims small entity status
3. ☒ Specification [Total Sheets **41**]
(preferred arrangement set forth below)
- Descriptive title of the Invention)
- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to Microfiche Appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. § 113) [Total Sheets **11**]
5. Oath or Declaration [Total Sheets **2**]
a. ☒ Newly executed (original or copy)
b. ☐ Copy from a prior application (37 C.F.R. § 1.63(d))
(for continuation/divisional with Box 18 completed)
i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§1.63(d)(2) and 1.33(b).
6. ☒ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer program (Appendix)

8. Nucleotide and/or Amino Acid Sequence Submission (If applicable, all necessary)

- a. ☐ Computer Readable Copy
- b. Specification Sequence Listing on:
i. ☐ CD-ROM or CD-R (2 Copies); or
ii. ☐ Paper
- c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☐ 37 C.F.R. § 3.73(b) Statement (when there is an assignee) ☒ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure Statement (IDS)/PTO – 1449 ☒ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☐ Nonpublication Request Under 35 USC 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent
17. ☒ Other: checks in the amount of \$40.00 and \$1,112.00

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation –in part (CIP)

of prior application No: _____ / _____

Prior application information:

Examiner _____

Group/Art Unit: _____

FOR CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

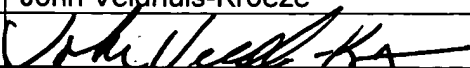
17. CORRESPONDENCE

☐ Customer Number or Bar Code Label

(Insert Customer No. or Attach bar code label here)

or ☒ Correspondence address below

| | | | |
|---------|--|-----------|----------------|
| Name | John Veldhuis-Kroeze | | |
| | WESTMAN CHAMPLIN & KELLY | | |
| Address | Suite 1600 – International Centre 900 Second Avenue South | | |
| City | Minneapolis | State | MN |
| Country | USA | Telephone | (612) 334-3222 |
| | | Zip Code | 55402-3319 |
| | | Fax | (612) 334-3312 |

| | | | |
|-------------------|---|-----------------------------------|---------|
| Name (Print/type) | John Veldhuis-Kroeze | Registration No. (Attorney/Agent) | 38,354 |
| Signature |  | Date | 3/31/04 |

16152 U.S. PTO

FEE TRANSMITTAL

Complete if Known

| | |
|----------------------|---|
| Application No. | |
| Filing Date | Herewith |
| First Named Inventor | Jesper Kiehn et al. |
| Title | SYSTEM AND METHOD FOR PROVIDING REA MODEL BASED SECURITY |
| Group Art Unit | |
| Examiner Name | |
| Atty. Docket Number | M61.12-0615 |

Total Amount of Payment \$1112

METHOD OF PAYMENT (Check One)

1. ☒ The Director is hereby authorized to charge any additional fee required under 37 C.F.R. § 1.16 and 1.17, including any petition fee, and credit any over payments to Deposit Account No. 23-1123.
Westman, Champlin & Kelly, P.A.

2. ☒ Check enclosed

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Small Entity

Fee Fee Fee Fee

Code (\$) Code (\$) Fee Description

| | | | | |
|------|-----|------|-----|--|
| 1001 | 770 | 2001 | 385 | <input checked="" type="checkbox"/> Utility Filing Fee |
| 1002 | 340 | 2002 | 170 | <input type="checkbox"/> Design Filing Fee |
| 1004 | 770 | 2004 | 385 | <input type="checkbox"/> Reissue Filing Fee |
| 1005 | 160 | 2005 | 80 | <input type="checkbox"/> Prov. Filing Fee |

Subtotal (1) \$770

2. EXTRA CLAIM FEES

| | Number Claims | Prior** | Extra | Fee from Below | Fee Paid |
|--------|------------------|---------|-------|-------------------|----------|
| Total | 39 | 20 | 19 | 18 | 342 |
| Indep. | 3 | 3 | 0 | 86 | 0 |

Multiple Dependent Claims

** Insert 3 and 20, or number previously paid if greater; Reissue see below

| Large Entity | | Small Entity | | Description |
|--------------|-------------|--------------|-------------|--|
| Fee Code | Fee (\$) | Fee Code | Fee (\$) | |
| 1202 | 18 | 2202 | 9 | Claims in excess of 20 |
| 1201 | 86 | 2201 | 43 | Independent claims in excess of 3 |
| 1203 | 290 | 2203 | 145 | Multiple Dependent Claims |
| 1204 | 86 | 2204 | 43 | Reissue Independent Claims over Original Patent |
| 1205 | 18 | 2205 | 9 | Reissue claims in excess of 20 and over original patent |

Subtotal (2) \$342

FEE CALCULATION (Continued)

3. ADDITIONAL FEES

| Large Entity Fee Code | Small Entity Fee Code | Fee Description | Fee Paid | |
|-----------------------------|-----------------------------|-----------------|-------------|---|
| 1051 | 130 | 2051 | 65 | Surcharge - Late filing fee or oath |
| 1052 | 50 | 2052 | 25 | Surcharge - Late provisional Filing Fee or cover sheet |
| 1053 | 130 | 1053 | 130 | Non-English specification |
| 1812 | 2,520 | 1812 | 2,520 | For Filing a Request for Reexamination. (ex parte) |
| 1251 | 110 | 2251 | 55 | Extension for reply within first month |
| 1252 | 420 | 2252 | 210 | Extension for reply within second month |
| 1253 | 950 | 2253 | 475 | Extension for reply within third month |
| 1254 | 1,480 | 2254 | 740 | Extension for reply within fourth month |
| 1255 | 2,010 | 2255 | 1,005 | Extension for reply within fifth month |
| 1402 | 330 | 2402 | 165 | Filing a brief in support of an appeal |
| 1403 | 290 | 2403 | 145 | Request for oral hearing |
| 1814 | 110 | 2814 | 55 | Terminal Disclaimer Fee |
| 1452 | 110 | 2452 | 55 | Petition to Revive - unavoidable |
| 1453 | 1,330 | 2453 | 665 | Petition to Revive - unintentional |
| 1501 | 1,330 | 2501 | 665 | Utility/Reissue issue fee (inc. advance copies) |
| 1502 | 480 | 2502 | 240 | Design issue fee (inc. advance copies) |
| 1460 | 130 | 1460 | 130 | Petitions to the Commissioner |
| 1807 | 50 | 1807 | 50 | Petitions related to provisional applications |
| 1806 | 180 | 1806 | 180 | Submission of Information Disclosure Statement |
| 8021 | 40 | 8021 | 40 | Recording each patent assignment per property (times number of properties) |

Other Fee (specify) _____

Subtotal (3) \$0

Signature

(John Veldhuis-Kroeze)

Reg. No. 38,354

Date

March 31, 2004Deposit Account No. 23-1123